



Form PTO-1449

**U.S. Department of Commerce
Patent and Trademark Office**

Application Number	09/654,462
Filing Date	September 1, 2000
First Named Inventor	Thomas M. Jessell
Art Unit	1632
Examiner Name	A.M. Falk, Ph.D.
Attorney Docket No.	62166/JPW/MVM

INFORMATION DISCLOSURE STATEMENT

(Use several sheets if necessary)

U.S. PATENT DOCUMENTS

Examiner Initials ⁵	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document

FOREIGN PATENT DOCUMENTS

Examiner Initials ⁵	Cite No. ¹	Foreign Patent Document Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T ⁶
	1	WO95/23223 A1	08-31-1995	The Trustees of Columbia University in the City of New York	
	2	WO99/00516 A2	01-07-1999	Medical Research Council	
	3	WO00/18884 A1	04-06-2000	The Trustees of Columbia University in the City of New York	
Amz	4	WO00/09676 A2	02-24-2000	California Institute of Technology	

EXAMINER SIGNATURE *Anne-Marie Falk*DATE CONSIDERED *4/5/05*

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609: Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds of Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English Language Translation is attached.

Applicant: Thomas M. Jessell et al.
U.S. Serial No.: 09/654,462
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Exhibit A



Form PTO-1449 U.S. Department of Commerce Patent and Trademark Office INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)	Application Number	09/654,462
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	Examiner Name	A.M. Falk, Ph.D.
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NON PATENT LITERATURE DOCUMENTS

Examiner Initials [*]	Cite No. ¹	Include name of the author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	5	Briscoe, J. et al. (1999) "Homeobox gene Nkx2.2 and specification of neuronal identity by graded Sonic hedgehog signalling," <i>Nature</i> 398: 622-627; Duplicate	
	6	Cai, J. et al. (1999) "Expression and regulation of the chicken Nkx-6.2 homeobox gene suggest its possible involvement in the ventral neural patterning and cell fate specification," <i>Dev. Dyn.</i> 216: 459-468; Duplicate	
Am2	7	Cai, J. et al. (2001) "Mice lacking the <i>Nkx6.2 (Gtx)</i> homeodomain transcription factor develop and reproduce normally," <i>Molecular and Cellular Biology</i> 21: 4399-4403;	
Am2	8	Palmer, T.D. et al. (1999) "Fibroblast growth factor-2 activates a latent neurogenic program in neural stem cells from diverse regions of the adult CNS," <i>The Journal of Neuroscience</i> 19: 8487-8497;	
	9	Qiu, M. et al. (1998) "Control of anteroposterior and dorsoventral domains of Nkx-6.1 gene expression relative to other Nkx genes during vertebrate CNS development," <i>Mechanisms of Development</i> 72: 77-88; Duplicate	
	10	Sander, M. et al. (2000) "Ventral neural patterning by Nkx homeobox genes: Nkx6.1 controls somatic motor neuron and ventral interneuron fates," <i>Genes & Development</i> 14: 2134-2139; and Duplicate	
	11	Tanabe, Y. et al. (1998) "Specification of motor neuron identity by the MNR2 homeodomain protein," <i>Cell</i> 95: 67-80. Duplicate	

EXAMINER SIGNATURE Anne-Marie Falk DATE CONSIDERED 4/5/05

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